

OFFICE OF RESEARCH COMPLIANCE AND INTEGRITY (ORCI)



Office for Research Compliance & Integrity
University of Puerto Rico

“ *If you think compliance is expensive, try non-compliance*

Former U.S. Deputy Attorney General Paul McNulty



ORCI ORIGINS

- Created in 2013
- Board of Trustees Certification 59 (2012-2013) amending Certification 81 (2007-2008)
- Part of the Corrective Action Plan approved by the National Science Foundation





ORCI COMPOSITION

**Carlos Rodriguez Rivera
(Director)**

- Expert in information systems and data mining
- Certified in Grants Management
- Lean & Six Sigma Green Belt

**Marcos O Perez Beauchamp
(Compliance Specialist)**

- Development and implementation of educational tools
- Procurement and property management specialist

**Yoana Lopez
(Administrative Assistant)**

- Grants administration
- Proposal development & performance



ORCI MISSION

Assist the research and creative community in achieving and maintaining ethical principles of high rigor, as well as ensuring **full compliance** with federal, state and institutional regulations by **promulgating** a culture of excellence and responsibility in research.





ORCI OBJECTIVES

- Establish, advise and coordinate the implementation of institutional **policies and procedures**
- Provide **education** and extensive **training**
- Conduct continuous **compliance assessments**
- Require and monitor **corrective action plans** when necessary.





ORCI ACTIVE KEY POINTS

- UPR's travel policy review & recommendations for further update
- Implementation and continuing update of online training module
- Lead the analysis and recommendations of a grant management electronic tools in coordination with Finance, External Funds and Information System offices
- Identification of UPR's units necessities





MOST COMMON COMPLIANCE ISSUES

- Property Management - Timing of equipment registration on fixed asset module
- Salaries - Timing and correctness
- Subrecipient Risk Management - Lack of documentation
- Procurement - Grant purchases close to grant performance period end





SERVICES

- Review of proposals and proposals budget
- On-Site assessments
- External visits/reviews coaching
- Allowability determinations
- Kick-off meetings
- Training
- Address any question or concern related to federal grants compliance



“ *Quality means doing it right
when no one is looking*

Henry Ford





CONTACT US

Phones: (787) 250-0000, (787) 281-0894

Email: compliance@upr.edu

**Carlos Rodriguez
Rivera (Director)**

Ext. 3126

Email:
carlos.rodriquez107@upr.edu

**Marcos O Perez
Beauchamp
(Compliance
Specialist)**

Ext. 3151

Email: marcos.perez1@upr.edu

**Yoana Lopez
(Administrative
Assistant)**

Ext. 3141

Email: yoana.lopez@upr.edu

